



BUSINESS NAME	Latjieskloof Beleggings (Pty) Ltd
TRADING AS	Seeff Boland Winelands
REGISTRATION NUMBER	1997/007630/07
BUSINESS ADDRESS	42 A Main Street, Paarl
BUSINESS EMAIL ADDRESS	bolandwinelands@seeff.com
ADDRESSES OF ADDITIONAL OFFICES	Listed at clause 1.41
FFC NUMBER	F109426

FINANCIAL INTELLIGENCE CENTRE ACT RISK MANAGEMENT AND COMPLIANCE PROGRAMME (RMCP)

MAY 2023

CLIENT QUESTIONNAIRES

INDIVIDUALS

Please note that the information herein collected is required in terms of the Financial Intelligence Centre Act 2001 as amended and will be used for purposes stipulated within the FIC Act. In terms of the provisions contained in the POPIA 2013, and in line with the Privacy Policy of THE BUSINESS, information herein completed will not be shared with third parties unless so indicated or unless it is necessary to give effect to your transaction and then only with other professionals involved in the transaction. Please note that some of the information you have supplied may need to be shared with outside authorities for formal verification purposes (such as the Deeds Office, FIC, SARS, the Court or similar) and your signature of this form constitutes your permission for THE BUSINESS to share the information accordingly.

COMPULSORY QUESTIONNAIRE		
NATURAL PERSON		
	PARTY 1	PARTY 2
HAVE YOU PREVIOUSLY DEALT WITH THE BUSINESS AND IF SO, PLEASE SUPPLY A SUMMARY	YES PURCHASED 35 FLOVER THROUGH SEEFF	
WHAT IS THE NATURE OF YOUR CURRENT INTERACTION WITH THE BUSINESS	HAVE AUTHORIZED SEEFF TO SELL 35 FLOVER	
FULL NAMES	VERONIK MICHELE PIENAR	
ID/PASSPORT NUMBERS	SE0912 0053 081	
RSA RESIDENT	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
IF NON-RESIDENT, PLEASE CONFIRM THE PLACE OF PERMANENT RESIDENCY		
DO YOU HOLD AMERICAN CITIZENSHIP OR RESIDENCY	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
IF FOREIGN, PLACE OF BIRTH		
RSA VISA ISSUED IF FOREIGN	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
INCOME TAX NUMBER	078 1225 156	



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PLEASE CONFIRM TAX BASE IF NOT SOUTH AFRICA		
MARITAL STATUS	In Community <input type="checkbox"/> Out of Community <input type="checkbox"/> Foreign Laws <input type="checkbox"/> Traditional/Customary <input type="checkbox"/> Unmarried <input type="checkbox"/>	In Community <input type="checkbox"/> Out of Community <input type="checkbox"/> Foreign Laws <input type="checkbox"/> Traditional/Customary <input type="checkbox"/> Unmarried <input type="checkbox"/>
ADDRESS (DOMICILIUM)		
EMPLOYER		
EMPLOYER DETAILS		
EMPLOYER ADDRESS		
CONTACT NUMBER		
CONTACT EMAIL		
<p>FICA QUESTIONS Client identification is an international requirement and we, an accountable institution as defined in the Financial Intelligence Centre Act and Regulations, are obliged to identify our clients. In terms of sections 21, 21A, 21B, 21C and 21E of the FIC Act, we are also obliged to identify and verify: * bank accounts used for purposes of payments to or from you and * the source and origin of the cash monies. These questions below are compulsory</p>		
MAIN OCCUPATION		
INDUSTRY OF BUSINESS		
OTHER INDUSTRIES OF BUSINESS		
COUNTRIES OF TRADE		
DO YOU HOLD INTEREST IN ANY ENTITY (COMPANY OR TRUST) REGISTERED IN SOUTH AFRICA OR ABROAD		
ARE YOU INVOLVED IN TRADING OF DUAL-USE GOODS SUBJECT TO EXPORT CONTROL OR IS THERE ANY CONNECTION WITH AN UNIVERSITY OR RESEARCH FACILITY INVOLVED IN TRADING OF DUAL-USE GOODS OR GOODS SUBJECT TO EXPORT CONTROL?	Examples of dual-use goods and technology include global positioning satellites, missiles, nuclear technology, chemical and biological tools, night vision technology, thermal imaging, some models of drones, aluminium pipes with precise specifications or certain kinds of ball bearings.	Examples of dual-use goods and technology include global positioning satellites, missiles, nuclear technology, chemical and biological tools, night vision technology, thermal imaging, some models of drones, aluminium pipes with precise specifications or certain kinds of ball bearings.



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ARE YOU INVOLVED IN BUSINESS ACTIVITIES DIRECTLY OR INDIRECTLY LINKED WITH THE MANUFACTURING OR SALE OF ARMS OR WEAPONS?	No	
YOUR GENERAL SOURCE OF WEALTH	SAVINGS	
PLEASE CONFIRM THE SOURCE OF FUNDS IN THE TRANSACTION AND IF YOU ARE SELLING OR LETTING, PLEASE CONFIRM THE SOURCE OF THE FUNDS TO ACQUIRE THE PROPERTY	SELLING PURCHASED FROM SAVINGS (SALARY)	
<i>'Source of Income or Wealth means the activities that have generated the total net worth of your wealth. Example Inheritance or savings, salary, inheritance, pension, proceeds of sale, divorce settlement, company profits, investment maturing, private capital raise, sale of shares, bursary</i>		



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<p>PLEASE CONFIRM THE BANK DETAILS INTO WHICH OR FROM WHICH FUNDS WILL BE TRANSFERRED</p>	<p>Account name: <u>D. M. PIENAR</u> Bank: <u>NETBANK</u> Bank account number: <u>101 753 8308</u></p> <p>*** Please note that you may be required to submit proof of such bank account as requested by THE BUSINESS *** Please note that THE BUSINESS will advise you of the METHOD by which the banking confirmation letter is to be sent for Data Privacy security purposes</p>	<p>Account name: Bank: Bank account number:</p> <p>*** Please note that you may be required to submit proof of such bank account as requested by THE BUSINESS *** Please note that THE BUSINESS will advise you of the METHOD by which the banking confirmation letter is to be sent for Data Privacy security purposes</p>
<p>ARE YOU A DOMESTIC OR FOREIGN Politically Exposed or Influential Person OR A FAMILY MEMBER OR ASSOCIATE OF SUCH A PERSON *This will be verified</p>	<p><input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</p>	<p><input type="checkbox"/> YES <input type="checkbox"/> NO</p>
<p><i>President or deputy president of South Africa; Cabinet minister or deputy minister; Premier of a province MEC of a province; Mayor of a municipality; Leader of a political party; Member of a royal family; Senior traditional leader; Head, accounting officer or CFO of a national or provincial; department; Manager or CFO of a municipality Chairperson, CEO, accounting authority, CFO or chief investment officer of a public entity, Judge, Ambassador, high commissioner or other senior representative of a foreign country based in South Africa, Chairperson of board of directors, chairperson of audit committee, executive officer or CFO of a company doing more than the prescribed amount of business with Government.</i></p>		
<p>FOREIGN PROMINENT INFLUENTIAL PERSON: Head of state, Member of a royal family; Cabinet member Senior member of a political party Senior judicial officer Senior executive of a state-owned entity; High rank in the military.</p>		
<p>PROTECTION OF PERSONAL INFORMATION</p> <p>We respect your information and in compliance with the Protection of Personal Information Act our privacy policy can be accessed on request. You hereby agree that personal information provided to THE BUSINESS may be processed and, shared with the professionals associated with the process, may be stored electronically or physically and that your personal information may be used for purposes of future services and/or to remain on the mailing list for articles/newsflashes to be sent and you agree to receiving any marketing material telephonically, per email or WhatsApp or otherwise. <input type="checkbox"/> YES <input type="checkbox"/> NO</p>		
<p>INDIVIDUAL VERIFICATION DOCUMENTS</p> <p><input checked="" type="checkbox"/> Copy of Green bar-coded South African ID/card or Passport if foreign and/or Residency VISA If applicable <input checked="" type="checkbox"/> Marriage Certificate and Antenuptial Agreement if applicable <input checked="" type="checkbox"/> Proof of Address (in the form of a utility bill, telephone account, lease agreement or alternatives issued by a 3rd party)</p> <p>In addition to the above, please take note that we may require documentary proof for verification purposes at any stage of the transaction or business relationship of the following: <input type="checkbox"/> Tax Clearance/Official document from SARS confirming your Income Tax Number (if requested)</p>		



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<input checked="" type="checkbox"/> Proof of banking details <input type="checkbox"/> Proof of Source of Income <input type="checkbox"/> Information regarding your Source of Wealth (only if required)
<p><u>DPenay</u> Client/s Signature</p> <p><u>16/11/2023</u> Date</p>